

SoK: Security of the Ascon Modes

Charlotte Lefevre, Bart Mennink

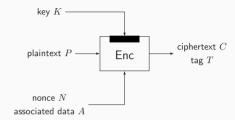
Radboud University

GAPS 2025

September 4, 2025

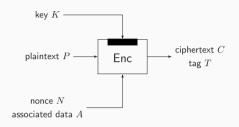
Introduction

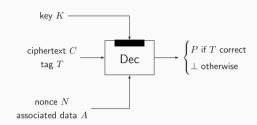
Authenticated Encryption



- Using key *K*:
 - ullet Ciphertext C encrypts plaintext P
 - ullet Tag T authenticates (N,A,P)

Authenticated Encryption





- Using key K:
 - ullet Ciphertext C encrypts plaintext P
 - Tag T authenticates (N, A, P)
- Unwrapping needs to satisfy that
 - Plaintext disclosed if tag is correct
 - Plaintext is not leaked if tag is incorrect

Cryptographic Competitions

CAESAR Competition

- 2014-2019
- Call for authenticated encryption scheme
- 57 submissions (of which \approx 10 sponge/duplex-based)
- Ascon selected as winner in category lightweight applications

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NIST Lightweight Cryptography Competition

- 2019–2023
- Call for authenticated encryption scheme and, optionally, hash function
- 57 submissions (of which \approx 22 sponge/duplex-based)
- Ascon selected as winner

Ascon [DEMS21]



Ascon [DEMS21]



Authenticated Encryption

• Duplex-based but with additional key blindings

Ascon [DEMS21]



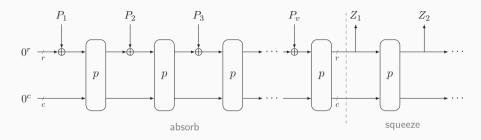
Authenticated Encryption

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Hashing

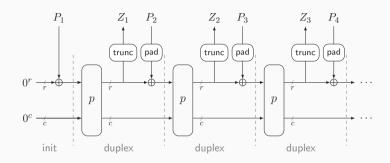
- Sponge-based hashing and XOFing
- Only included in NIST Lightweight Cryptography submission

The Sponge Construction [BDPV07]



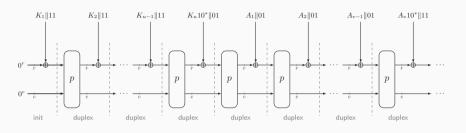
- Extendable Output Function (variable-length digest)
- State of size b = r + c bits:
 - rate r (efficiency parameter)
 - capacity c (security parameter)
- $P_1 \| \cdots \| P_v$ is the message padded into r-bit blocks (e.g., 10^* padding)

The Duplex Construction [BDPV11]

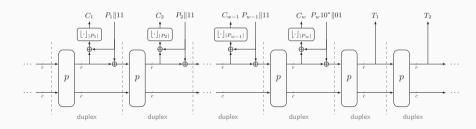


- Stateful version of sponge
- Interleaved absorb and squeeze
- Main application: authenticated encryption

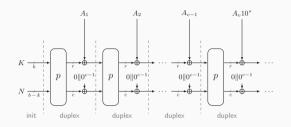
SpongeWrap [BDPV11]



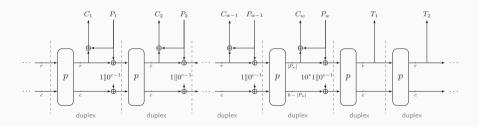
 SpongeWrap embeds duplex



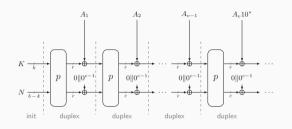
MonkeySpongeWrap [Men23]



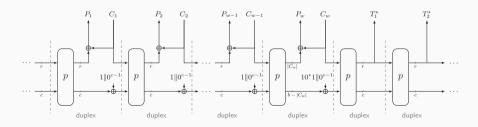
- State initialized using key and nonce
- Cleaned-up and synchronized domain separation
- Spill-over into inner part



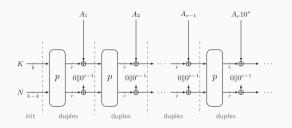
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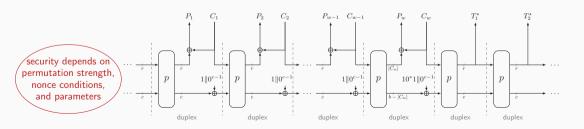
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- Decryption similar to encryption

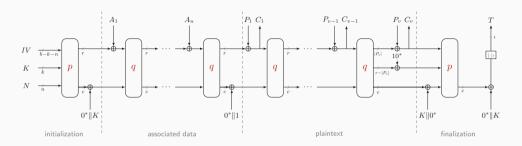


MonkeySpongeWrap [Men23]



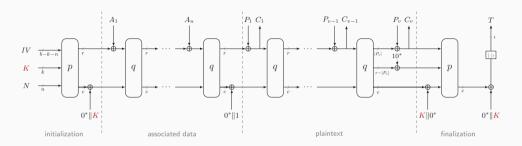
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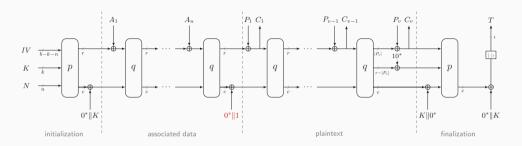
Variant of (Monkey-)SpongeWrap [BDPV11, Men23]

- Outer permutation p and inner permutation q, both on b bits
 - \bullet r is the rate, c is the capacity (security parameter)



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- Additional key blindings around "outer" permutations



Variant of (Monkey-)SpongeWrap [BDPV11, Men23]

- ullet Outer permutation p and inner permutation q, both on b bits
 - ullet r is the rate, c is the capacity (security parameter)
- Additional key blindings around "outer" permutations
- Domain separation simplified and spilled-over into inner part



SpongeWrap and Similar

Bertoni et al. [BDPV11]
Duplex and SpongeWrap

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Full-state duplex and SpongeWrap

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Generalized duplex

10/31

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Duplex guide and MonkeySpongeWrap

SpongeWrap and Similar

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none of these results deals with additional key blindings



Dedicated Ascon Analysis

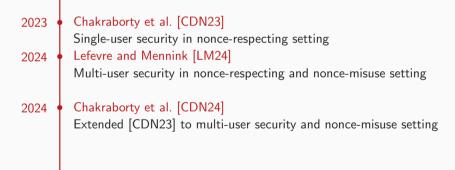
Chakraborty et al. [CDN23]
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 Multi-user security in nonce-respecting and nonce-misuse setting

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Extended [CDN23] to multi-user security and nonce-misuse setting

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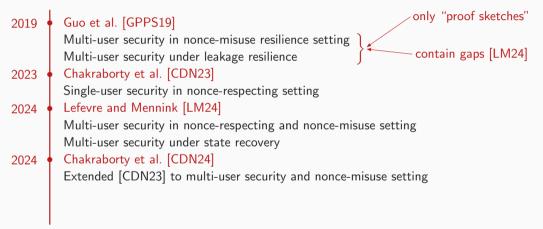
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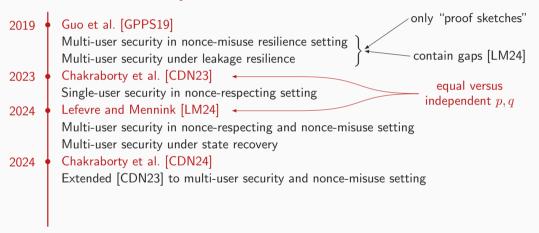
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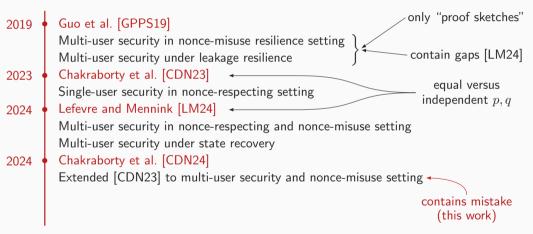


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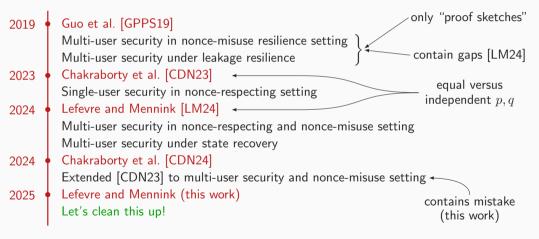
History of Generic Security Results (2/2)

Dedicated Ascon Analysis



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Dedicated Ascon Analysis



- Three flavors of conventional security:
 - Nonce-respecting security [BN00]
 - 2 Nonce-misuse resistance [RS06]
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- We derive new security bounds and matching attacks where needed

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- We categorize existing lower and upper bounds
- We derive new security bounds and matching attacks where needed
- All results assume that p = q is a random permutation

Security Model (1/3)

Conventional Security

- **Nonce-respecting security** [BN00]
 - Confidentiality: distance $(Enc_K^p, p; \$, p)$
 - Authenticity: $\mathbf{Pr}\left(\mathcal{A}\left[\mathsf{Enc}_K^p,\mathsf{Dec}_K^p,p\right]\right.$ forges)
 - ullet ${\cal A}$ never repeats the same nonce for encryption queries

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 - ullet ${\cal A}$ never repeats the same nonce for encryption queries
- **2** Nonce-misuse resistance [RS06]
 - ullet Same, but ${\mathcal A}$ may repeat the same nonce for encryption queries
 - Ascon does not achieve nonce-misuse confidentiality
 - In general, not achievable by one-pass AEs
 - Authenticity still achievable

Security Model (2/3)

- **2** Nonce-misuse resilience [ADL17]
 - ullet Idea: challenge oracles for non-reused nonces only (but ${\mathcal A}$ may still repeat nonces in leaky oracles)
 - $\bullet \ \ \mathsf{Confidentiality} \colon \ \mathsf{distance} \left(\mathsf{Enc}_K^p, \mathsf{LEnc}_K^p, p \, ; \, \$, \mathsf{LEnc}_K^p, p \right) \\$
 - $\bullet \ \, \mathsf{Authenticity} \colon \operatorname{\mathbf{\mathbf{Pr}}} \left(\mathcal{A} \left[\mathsf{Enc}_K^p, \mathsf{LEnc}_K^p, \mathsf{Dec}_K^p, p \right] \ \, \mathsf{forges} \right)$

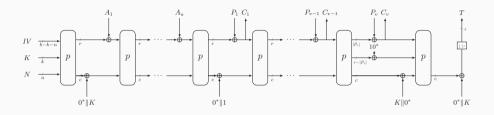
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Leaky Security

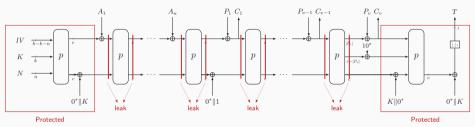
- **1** Security under release of unverified plaintext [ABL⁺14]
 - Confidentiality is covered by plaintext awareness
 - Ascon does not achieve plaintext awareness
 - In general, not achievable by nonce-based length-preserving AEs
 - Authenticity still achievable

Security Model (3/3)



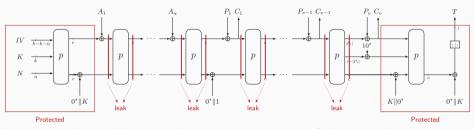
• Ascon was designed to provide some security even if the internal permutation evaluations leak (e.g., via side channels)

Security Model (3/3)



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- 2 Leakage resilience: inner evaluations leak information via a leakage function
 - Outer evaluations do not leak (leveled implementation setup [DP08, PSV15])
 - Adverary's oracle access is similar to nonce-misuse resilience, where LEnc/LDec additionally leak leakage function's output

Security Model (3/3)



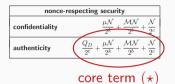
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nonce-respecting security	
confidentiality	
authenticity	

nonce-respecting security	
confidentiality	$\frac{\mu \mathcal{N}}{2^k} + \frac{\mathcal{M}\mathcal{N}}{2^b} + \frac{\mathcal{N}}{2^c}$
authenticity	$\frac{Q_D}{2^t} + \frac{\mu \mathcal{N}}{2^k} + \frac{\mathcal{M}\mathcal{N}}{2^b} + \frac{\mathcal{N}}{2^c}$

 $\begin{array}{ll} \mu & \text{number of users} \\ Q_E/\mathcal{M}_E & \text{encryption queries/complexity} \\ Q_D/\mathcal{M}_D & \text{decryption queries/complexity} \\ Q/\mathcal{M} & \text{construction queries} \\ \mathcal{N} & \text{permutation queries} \end{array}$





 μ number of users

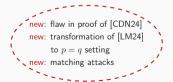
 Q_E/\mathcal{M}_E encryption queries/complexity Q_D/\mathcal{M}_D decryption queries/complexity Q/\mathcal{M} construction queries/complexity \mathcal{N} permutation queries





core term (*)

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nonce-misuse resista	nce
confidentiality	1
authenticity	$(\star) + \frac{MN}{2^c}$



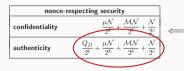
confidentiality $(\star) + \frac{\mathcal{M}\mathcal{I}}{2^c}$	nonce-misuse resilience	
1.44	<u></u>	
authenticity $(\star) + \frac{\mathcal{M}I}{2^c}$	<u></u>	

nonce-misuse resistance	
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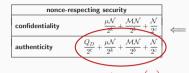
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leakage resilience, limited
confidentiality
authenticity

leakage resilience, unlimited confidentiality authenticity



 \Leftarrow



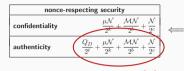
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leakage resilience, limited confidentiality authenticity

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analysis of [GPPS19] incomplete and in different model new: security bounds and matching attacks leakage resilience, limited confidentiality



core term (*)

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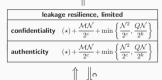


nonce-misuse resilience	
confidentiality	$(\star) + \frac{MN}{2^c}$
authenticity	$(\star) + \frac{\mathcal{M}\mathcal{N}}{2^c}$

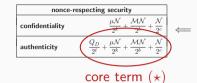








leakage resilience, unlimited	
confidentiality	$(\star) + \frac{\mathcal{M}\mathcal{N}}{2^c} + \min\left\{\frac{\mathcal{N}^2}{2^c}, \frac{Q\mathcal{N}}{2^k}\right\}$
authenticity	$(\star) + \frac{\mathcal{M}\mathcal{N}}{2^c} + \min\left\{\frac{\mathcal{N}^2}{2^c}, \frac{Q\mathcal{N}}{2^k}\right\}$



number of users Q_E/\mathcal{M}_E encryption gueries/complexity Q_D/\mathcal{M}_D decryption gueries/complexity

Q/Mconstruction gueries/complexity N permutation queries

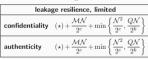


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leakage resilience, n	o leakage
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	1 0	



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nonce-misuse resistance	
confidentiality	1
authenticity	$(\star) + \frac{MN}{2^c}$





setting	confidentiality as long as	authenticity as long as	
nonce-respecting			
nonce-misuse resilience			
nonce-misuse resistance			
state-recovery security			

setting	confidentiality as long as	authenticity as long as
nonce-respecting	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}$	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}$, $Q_D \ll 2^t$
nonce-misuse resilience	$\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}$	$\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}, Q_D \ll 2^t$
nonce-misuse resistance	_	$\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}, Q_D \ll 2^t$
state-recovery security	_	$\mathcal{N} \ll \min\{2^k/\mu, 2^{c/2}\}, \qquad Q_D \ll 2^t$

setting	confidentiality as long as	authenticity as long as
nonce-respecting nonce-misuse resilience	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}$ $\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}$	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}, Q_D \ll 2^t$ $\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}, Q_D \ll 2^t$
nonce-misuse resistance	_	$\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}$, $Q_D \ll 2^t$
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Application to Ascon-AEAD Parameters

$$\bullet \ (k,b,c,r,t) = \begin{cases} (128,320,256,64,128) \text{ for Ascon-128} \\ (128,320,192,128,128) \text{ for Ascon-128a} \\ (160,320,256,64,128) \text{ for Ascon-80pq} \end{cases}$$

• Assume online complexity of $Q, \mathcal{M} \ll 2^{64} \cdot \mu$

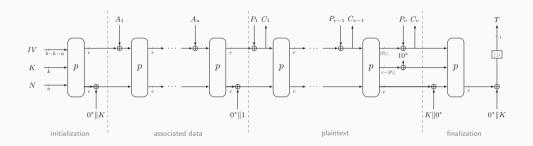
setting	confidentiality as long as	authenticity as long as
nonce-respecting nonce-misuse resilience	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}$ $\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}$	$\mathcal{N} \ll \min\{2^k/\mu, 2^b/\mathcal{M}, 2^c\}, Q_D \ll 2^t$ $\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}, Q_D \ll 2^t$
nonce-misuse resistance	—	$\mathcal{N} \ll \min\{2/\mu, 2/\mathcal{M}\}, \mathcal{Q}_D \ll 2^t$ $\mathcal{N} \ll \min\{2^k/\mu, 2^c/\mathcal{M}\}, Q_D \ll 2^t$
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Application to Ascon-AEAD Parameters

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- Assume online complexity of $Q, \mathcal{M} \ll 2^{64} \cdot \mu$
- Generic security as long as $\mathcal{N}\ll 2^{128}/\mu$ (exceptions: $\mathcal{N}\ll 2^{160}/\mu$ for Ascon-80pq; $\mathcal{N}\ll 2^{96}$ for Ascon-128a under state-recovery)

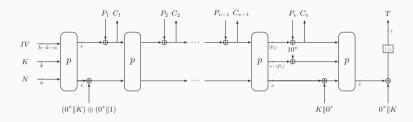
Teaser: How to Forge (1/6)



General Goal: Forgery

- Observe multiple evaluations $\operatorname{Enc}_K(N,A,P)=(C,T)$
- Output a new tuple (N, A, C, T) for which Dec_K does not return \bot

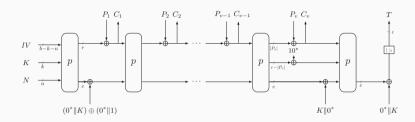
Teaser: How to Forge (2/6)



General Setup

• Adversary ignores associated data

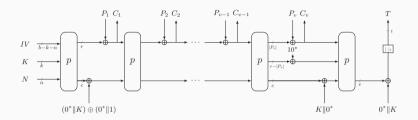
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General Setup

- Adversary ignores associated data
- Adversary can make $\mathcal N$ queries to p, $\mathcal M$ construction queries, Q_D forgery attempts

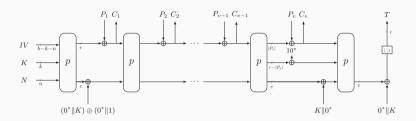
Teaser: How to Forge (3/6)



Nonce-Respecting Adversary

$$(\star) = \frac{Q_D}{2^t} + \frac{\mu \mathcal{N}}{2^k} + \frac{\mathcal{M}\mathcal{N}}{2^b} + \frac{\mathcal{N}}{2^c}$$

Teaser: How to Forge (3/6)

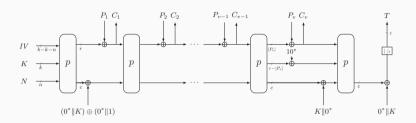


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- First term corresponds to random tag guessing:
 - ullet Any guess succeeds with probability $1/2^t$

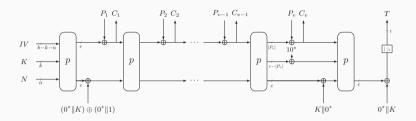
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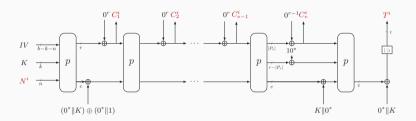
- First term corresponds to random tag guessing:
 - Any guess succeeds with probability $1/2^t$
- Second term corresponds to random key guessing:
 - Any guess succeeds with probability $\mu/2^k$ (as there are μ keys)



Nonce-Respecting Adversary

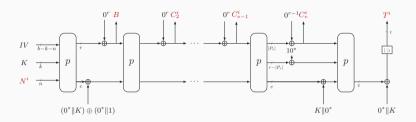
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• Last two terms correspond to following attack:



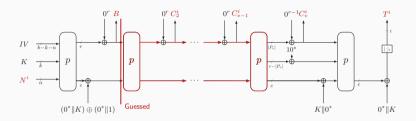
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- Last two terms correspond to following attack:
 - Make $\mathcal M$ queries for plaintext 0^{rv-1} , get ciphertexts $C_1^i\|\cdots\|C_v^i$
 - ullet Looking ahead, v is a logarithmic factor



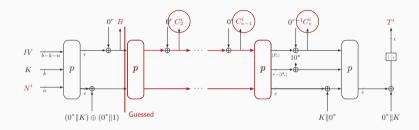
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- Last two terms correspond to following attack:
 - Let $B \in \{0,1\}^r$ be the most frequent ciphertext block C_1^i
 - Query $p^f(B\|X_j)$, for $f=1,\ldots,v-1$ and $\mathcal N$ random $X_j\in\{0,1\}^c$
 - Total cost: $\mathcal{N} \times (v-1)$ permutation queries (can be simplified)



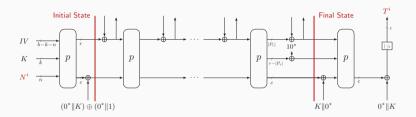
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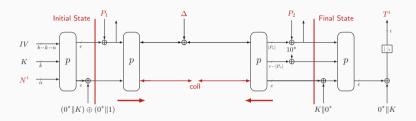
$$(\star) = \frac{Q_D}{2^t} + \frac{\mu \mathcal{N}}{2^k} + \frac{\mathcal{M}\mathcal{N}}{2^b} + \frac{\mathcal{N}}{2^c}$$

- Last two terms correspond to following attack:
 - ullet With probability $pprox rac{\mathcal{MN}}{2^b} + rac{\mathcal{N}}{2^c}$, adversary guesses internal state
 - If v is large enough (e.g., $\approx \lceil b/r \rceil$), false positives can be discarded with high probability



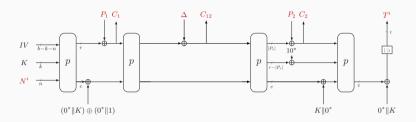
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 - Final step: connect initial and final states with a different plaintext



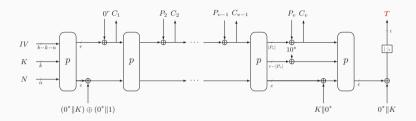
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 - Boils down to finding inner collisions, success probability $\approx \frac{\mathcal{N}(\mathcal{N}-1)}{2^{c+1}}$



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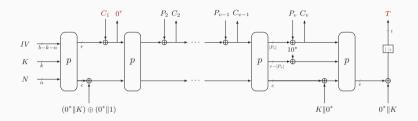
- Last two terms correspond to following attack:
 - Final step: connect initial and final states with a different plaintext
 - Boils down to finding inner collisions, success probability $pprox rac{\mathcal{N}(\mathcal{N}-1)}{2^{c+1}}$
 - The input $(N^i, (C_1 || C_{12} || C_2), T^i)$ is a valid forgery



Nonce-Misuse Resistance Adversary

$$(\star) + rac{\mathcal{M}\mathcal{N}}{2^c}$$

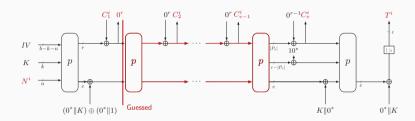
• This time the adversary can re-use nonces



Nonce-Misuse Resistance Adversary

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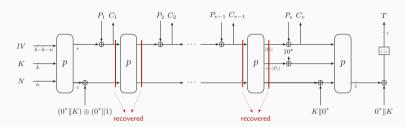
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Nonce-Misuse Resistance Adversary

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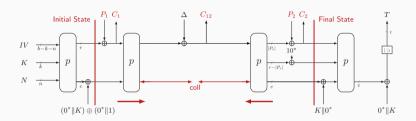
- This time the adversary can re-use nonces
- Allows overwriting the outer parts to a value of its choice
- Same strategy as before can be applied, but state guessing step sped up
 - Success probability of $\approx \frac{MN}{2^c}$



State-Recovery Adversary

• The internal states leak

$$(\star) + rac{\mathcal{N}^2}{2^c}$$



State-Recovery Adversary

$$(\star) + \frac{\mathcal{N}^2}{2^c}$$

- The internal states leak
- It just remains to apply the last step of previous attacks
 - Success probability $\approx \frac{\mathcal{N}(\mathcal{N}-1)}{2^{c+1}}$

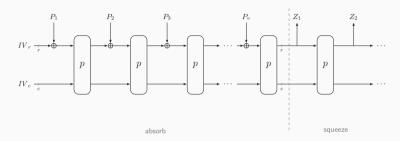
Ascon-Hash/Ascon-(C)XOF

Modern Definition of Hashing

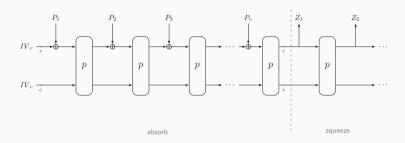


- Function XOF from $\{0,1\}^*$ to $\{0,1\}^{\infty}$
 - Variable-length input
 - Variable-length output
 - ullet User specifies output length u when calling the function

Ascon-Hash/Ascon-(C)XOF



Ascon-Hash/Ascon-(C)XOF



Sponge [BDPV07]

- Permutation p on b bits
 - r is the rate
 - c is the capacity (security parameter)
- Output of ν bits (256 for Ascon-Hash, unlimited for the XOFs)

ullet Sponge indifferentiable from random up to bound $\mathcal{N}^2/2^c$ [BDPV08]

- ullet Sponge indifferentiable from random up to bound $\mathcal{N}^2/2^c$ [BDPV08]
- Security of sponge truncated to ν bits against classical attacks [AMP10]:

Collision resistance: $\mathcal{N}^2/2^c + \mathcal{N}^2/2^{\nu+1}$

Second preimage resistance: $N^2/2^c + N/2^{\nu}$

Preimage resistance: $\mathcal{N}^2/2^c + \mathcal{N}/2^\nu$

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Collision resistance:  \mathcal{N}^2/2^c + \mathcal{N}^2/2^{\nu+1} \quad \leftarrow \text{ attack in } \min\{2^{c/2}, 2^{\nu/2}\}  Second preimage resistance:  \mathcal{N}^2/2^c + \mathcal{N}/2^{\nu} \quad \leftarrow \text{ attack in } \min\{2^{c/2}, 2^{\nu}\}  Preimage resistance:  \mathcal{N}^2/2^c + \mathcal{N}/2^{\nu} \quad \leftarrow \text{ attack in } \min\{2^{c/2}, 2^{\nu}\}   \qquad \qquad \uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow   \qquad \qquad \text{distance from sponge to RO} \quad \text{classical attacks against RO}   (\mathcal{N} \text{ is } \# \text{ primitive evaluations}) \qquad (\mathcal{N} \text{ is } \# \text{ oracle evaluations})
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• Attacks already described in [BDPV07]

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- Attacks already described in [BDPV07]
- Tightened preimage resistance bound by Lefevre and Mennink [LM22]:

$$\text{Preimage resistance:} \quad \min \left\{ \mathcal{N}/2^{\nu-r}, \mathcal{N}/2^{c/2} \right\} + \mathcal{N}/2^{\nu} \qquad \leftarrow \text{attack in } \min \{ 2^{\nu-r} + 2^{c/2}, 2^{\nu} \}$$

Application to Ascon-Hash and Ascon-(C)XOF Parameters

$$\bullet \ (b,c,r,\nu) = \begin{cases} (320,256,64,256) \text{ for Ascon-Hash} \\ (320,256,64,\infty) \text{ for Ascon-XOF} \\ (320,256,64,\infty) \text{ for Ascon-CXOF} \end{cases}$$

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- Generic second preimage resistance as long as $\mathcal{N} \ll \min\{2^{128}, 2^{\nu}\}$

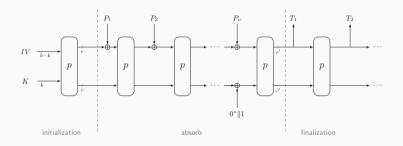
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Bonus: Ascon-PRF

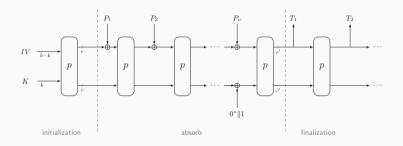
Bonus: Ascon-PRF [DEMS24]



Variant of Full-State Keyed Sponge [BDPV12, MRV15]

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Variant of Full-State Keyed Sponge [BDPV12, MRV15]

- ullet Permutation p on b bits
 - ullet r is the rate, c is the capacity (security parameter)
- Domain separation to avoid squeezed tags being misused in absorption



FSKS and **Ascon-PRF**

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Security of FSKS but with proof-inherent "multiplicity term"

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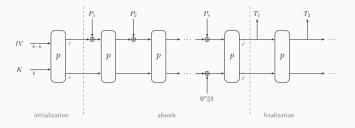
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Adapt bound of [Men23] with improved multicollision strategy

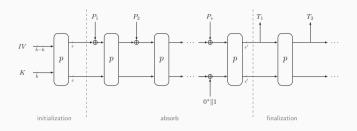
Generic Security of Ascon-PRF (2/2)



Generic Security Bound

• Ascon-PRF is multi-user secure up to bound $\frac{\mu\mathcal{N}}{2^k} + \frac{\mathcal{N}}{2^{c'}} + \frac{\mathcal{M}\mathcal{N}}{2^b}$

Generic Security of Ascon-PRF (2/2)



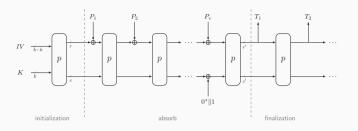
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Application to Ascon-PRF Parameters

- $(k, b, c, r, c', r', t) = (128, 320, 64, 256, 192, 128, \infty)$
- ullet Assume online complexity of $\mathcal{M} \ll 2^{64} \cdot \mu$ (could be taken higher)

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More in Paper: https://eprint.iacr.org/2024/1969

- Exact security models, settings, and discussions
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- Related-key security and security for arbitrary key distributions
- Security under fault attacks
- Variant with nonce masking [DM24]
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Thank you for your attention!

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